

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al.	Art Unit : 3693
Serial No. : 10/077,182	Examiner : Chandler, Sara M
Filed : February 15, 2002	Conf. No. : 9522
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES	

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AMENDMENT IN REPLY TO ACTION OF JULY 24, 2007

Please amend the above-identified application as follows:

CERTIFICATE OF MAILING BY EFS-WEB FILING

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: October 31, 2007